



Ellington Management Services, Inc.

Inclusion Consultant

Monthly Report

September 2014

Economic Inclusion outcomes as of August 31, 2014 for bid package 12-2 and professional services are well above the goals. Bid package 12-1 has a DBE goal of 9%. There are now seven bid packages which were supported by state and/or federal funding and were subject to the goals for Disadvantaged Business Enterprise participation established by the Ohio Department of Transportation (ODOT). All of these contracts are closed out and all contracts exceeded the established goals.

ODOT considers a DBE goal “achieved” if the dollars representing the DBE goal are actually spent with DBEs regardless of whether the total contract amount changes. In all but one case, both the ODOT DBE percentage and dollar spent goals were achieved (**See Attachment B**)

Bid Package No.	ODOT DBE Goal	Contractor Commitment	Committed DBE Amount	Actual DBE Participation	Actual DBE Amount
5	8.0%	10.8%	\$618,354	12.0%	\$746,386
7	8.0%	10.7%	\$544,316	11.7%	\$676,741
6	5.0%	5.0%	\$536,229	5.4%	\$615,285
9	4.0%	4.9%	\$351,241	5.6%	\$412,761
11	8.0%	8.2%	\$1,828,156	7.8%	\$1,846,808
12-2	6.0%	16.5%	\$900,080	17.5%	\$865,566
12-1	9.0%	7.9%	\$365,713	5.5%	\$217,289

The SBE participation goal established by the Public Partnership for professional services is 10%. The actual SBE participation to date is 16.6%.

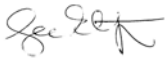
The Public Partnership set a combined minority and women workforce participation goal of 22%, which is measured in work hours. Minority workforce participation is 17.58% which includes minority female hours.

The total female participation is 2.01% for a combined minority/female workforce participation of 17.58% (minority females are not counted twice) as reported by hours for September 1, 2008–August 31, 2014 **(See Attachment D)**.

Employees working on the Banks Project during this reporting period living within the 15 County Cincinnati-Middletown Metropolitan Statistical Area (MSA) represented 100% of the construction workforce. There was only one contractor with workers for this period. **(See Attachment E)**.

There was no local residency participation goals established for this project.

Respectfully submitted,



Eugene Ellington, President/CEO

The Banks Public Infrastructure Street Grid Pedestrian Enhancements
DBE
Tracking Report
as of
August 31, 2014

Attachment B
prepared: September 24, 2014
revised: October 6, 2014
revised again: October 9, 2014

Contract	Vendor Information	Commitment				Actual							
	Vendor Name	Total \$	Total %	DBE \$	DBE %	Total \$	Total %	DBE \$	DBE %	MBE \$	MBE %	WBE \$	WBE %
Construction TC-29 BP# 12-2	Prus Construction	\$3,425,144	62.9			\$3,129,174	63.3						
	John R Jurgensen Co	\$86,102	1.6										
	A & B Painting	\$71,994	1.3										
	Decorative Paving	\$192,951	3.5			\$175,173	3.5						
	Richard Goettle Inc	\$150,000	2.8			\$150,000	3.0						
	Aero-Mark	\$4,356	0.1			\$4,356	0.1						
	Scherzinger Drilling Inc	\$67,046	1.2			\$67,046	1.4						
	J&B Steel Erectors, Inc.	\$68,320	1.3	\$68,320	1.3	\$72,375	1.5	\$72,375	1.5			\$72,375	1.5
	Evers Welding	\$100,000	1.8			\$100,000	2.0						
	Holthaus Lackner Signs	\$33,123	0.6			\$31,627	0.6						
	B&J Electrical	\$417,869	7.7			\$420,390	8.5						
	Security Fence	\$487,199	8.9	\$487,199	8.9	\$443,961	9.0	\$443,961	9.0			\$443,961	9.0
	Avenue Fabricating	\$344,561	6.3	\$344,561	6.3	\$349,231	7.1	\$349,231	7.1			\$349,231	7.1
	Total	\$5,448,666	100.0	\$900,080	16.5	\$4,943,334	100.0	\$865,566	17.5	\$0	0.0	\$865,566	17.5
	Goal											6%	
Construction TC-30 BP# 12-1	Prus Construction	\$2,664,301	57.2			\$2,266,747	57.7						
	Queen City Blacktop	\$9,708	0.2			\$9,709	0.2						
	Geograph Industries, Inc.	\$76,801	1.6			\$53,592	1.4						
	Lithko Restoration	\$312,088	6.7			\$223,252	5.7						
	Decorative Paving	\$89,905	1.9			\$63,554	1.6						
	Elex	\$93,258	2.0	\$93,258	2.0	\$80,558	2.1	\$80,558	2.1			\$80,558	2.1
	B&J Electrical	\$1,038,873	22.3			\$1,023,275	26.1						
	Rod Techs	\$184,020	4.0	\$184,020	4.0	\$131,853	3.4	\$131,853	3.4	\$131,853	3.4		
	A&A Safety	\$98,253	2.1			\$64,976	1.7					\$64,976	1.7
	Security Fence	\$88,435	1.9	\$88,435	1.9	\$4,877	0.1	\$4,877	0.1			\$4,877	0.1
Total	\$4,655,642	100.0	\$365,713	7.9	\$3,922,394	100.0	\$217,289	5.5	\$131,853	3.4	\$150,412	3.9	
	Goal											9%	
Grand Total	\$10,104,308	100.0	\$1,265,793	12.5	\$8,865,728	100.0	\$1,082,855	12.2	\$131,853	1.5	\$1,015,978	11.5	

**The Banks – Phase 1 & Phase 2 – Public Infrastructure Development
Monthly Workforce Tracking Form**

Contractor Name: All Contractors		Trade Contract: #29 & #30	Form WF-01
Date Submitted: September 2014		Reporting Dates: From September 1, 2008 To August 31, 2014	
Contact Person: Marilyn Whitfield			
Telephone Number: (513) 554-4012		Email: deana@ellingtonmanagement.com	

The Banks Workforce

Job Category Trade Employees	Total Employee Hours Worked					Total Minority (Hours)				Caucasian (Hours)		African American (Hours)		Asian American (Hours)		Hispanic American (Hours)		Native American (Hours)	
	Total	Male	Total % M	Female	Total % F	Male	Total % M	Female	Total % F	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female
Journey Workers	443243.52	435513.50	98.26%	7730.02	1.74%	70572.00	15.92%	2462.14	0.56%	364941.50	5267.88	40365.47	2462.14	1157.50	0.00	26279.28	0.00	2769.75	0.00
Apprentices	48983.00	46515.00	94.96%	2468.00	5.04%	12322.00	25.16%	909.50	1.86%	34193.00	1558.50	11659.50	909.50	0.00	0.00	662.50	0.00	0.00	0.00
Others	75076.00	74756.00	99.57%	320.00	0.43%	5309.75	7.07%	6.50	0.01%	69446.25	313.50	1253.00	6.50	0.00	0.00	3879.25	0.00	177.50	0.00
Grand Totals	584722.77	572948.25	97.99%	11774.52	2.01%	91029.50	15.57%	4379.64	0.75%	481918.75	7394.88	55081.47	4379.64	1157.50	0.00	31112.78	0.00	3677.75	0.00
Total Min./Female			17.58%																



The Banks – Phase 1 & Phase 2 – Public Infrastructure Development									
Number of Employees Report									
Contractor Name: All Contractors			Trade Contract		29 and 30		Form WF-02 The Banks		
Date Submitted: September 2014			8/1/2014 to 8/31/2014						
Contact Person: Marilyn Whitfield									
Telephone Number: (513) 554-4012		Email: marilyn@ellingtonmanagement.com							
		Total Number of Employees	Caucasian Men		Women		Minority		
Joint Policy Questions		Percentage	Total Number of Caucasian Men	Percentage of Total Employees	Total Number of Women	Percentage of Total Employees	Total Number of Minorities	Percentage of Total Employees	
*Total number of employees working on this Banks contract			13	11	84.62%	0	0.00%	2	15.38%
Total number of full-time employees working on Banks contract [6.6 (a) (1)]			13	11	84.62%	0	0.00%	2	15.38%
Total number of part-time employees working on Banks contract [6.6 (a) (1)]			0	0	0.00%	0	0.00%	0	0.00%
Total number of employees working on Banks contract (who perform work directly or indirectly) who lives in the Cincinnati Middletown MSA [9.1 (a)(ii)]		100.00%	13	11	84.62%	0	0.00%	2	15.38%
Total number of all employees working within this MSA			137	98	71.53%	17	12.41%	26	18.98%